HAVEN

Health Assistance InterVention Education Network

PARTICIPANT HANDBOOK

HAVEN 1210 Mill Street, East Berlin, CT 06023

Table of Contents

Introduction – A Note to our Participants 2

HAVEN Step Process

- Referral 2
- Intake 3
- Biopsychosocial Evaluation Process 4

Administrative Fees

- Initial Administrative Fee 5
- Monthly Fee 5

Financial Assistance

- Payment Plan 5
- The Linda A. Barile Grant 5

Medical Review Committee, Oversight Committee, and Health and Wellness Contracts

- MRC 6
- Oversight Committee 6
- Health and Wellness Contract 6

Biologic Testing

- Recovery Trek 7
- What to do when selected 8
- Other important Testing Information 8

Types of Testing

- Urine Drug Screening 9
- Hair Testing 9
- Nail Testing 9
- Peth Testing 9

Breathalyzer Testing 10

Compliance

- Report Requirements 10
  - Quarterly Meeting 10
  - Quarterly Reports 10
  - Meeting Logs 11

Continuing Education Units 11

Vacations 11

Forms 12

A Note of Professionalism 12

Privacy and Confidentiality Acknowledgement 13

INTRODUCTION – A Note to our Participants

We have created this handbook to make your experience with HAVEN as easy as possible. You will find in these pages information on what to expect and how to remain compliant with aspects of your HAVEN engagement while you are voluntarily working with us. Please know that HAVEN is a small staff of dedicated people who are there to support you. If you are unsure about any aspect, we are all available to answer your questions or connect you with the correct person.

This handbook is an attempt to provide answers to common questions, and descriptions of common processes, in a single location. It is a resource. Additionally, we are constantly updating our website, http://www.haven-ct.org with information and news, and we encourage you to familiarize yourself with the content. The website and handbook are a guide that can assist with most situations our participants will face. In the event that information on our website, or in this handbook, are in contrast to a specific detail of a plan or contract, it is the plan or contract for an individual that prevails.

It is important to note that no one is mandated to work with HAVEN. HAVEN is a confidential alternative to working with The Connecticut Department of Public Health, The Office of Emergency Medical Services or The Department of Consumer Protection [hereafter collectively referred to as "the licensing authority"]. If you do not wish to work with HAVEN, HAVEN will close its file and transfer your case to the licensing authority. You can, at any point in your HAVEN engagement, ask to have your case file transferred to the licensing authority.

HAVEN staff will always work to make our working together as efficient, effective and professional as possible. Thank you in advance for your shared professionalism.

HAVEN 4 STEP PROCESS

For most, engagement with HAVEN follows a 4-step process, as described below.

1. Referral
2. Intake
3. Biopsychosocial Evaluation
4. Medical Review Committee

1. Referral

Referrals to HAVEN are received through a variety of sources. These include self-referrals, referrals from a licensing authority, from an

employer, a patient, a co-worker, or others. Referring individuals may ask to remain anonymous. In these cases, HAVEN will not disclose to the person who was referred the identity of the referral source. Regardless of the referral source, HAVEN's next step is to make every effort to contact the person being referred.

2. Intake

An intake meeting, typically held in person, is scheduled following the initial referral. An integral part of an intake with HAVEN is filling out the intake packet provided either in person or in advance via FoxIT-Esign, the HIPAA (Health Insurance Portability and Accountability) compliant digital signature solution used by HAVEN to electronically complete documents. Participants will be expected to thoroughly fill out every page to the best of their ability. Those forms include:

- Healthcare Professional Information
- Treatment History Information
- Participant Self-Identification
- Authorizations for Access/Release of Information
- Administrative Fees and Financial Obligations
- Informed Consent
- Privacy and Confidentiality Document

Participants will be asked about their complete health history, including all medications prescribed, all diagnosed medical conditions, and any hospitalizations that have occurred. Participants will also be given authorizations to grant HAVEN the ability to discuss, if necessary, elements of their case with the appropriate parties, including the licensing authority, emergency contact, and treatment team. In addition to the health history, participants will be encouraged to discuss the reason for the referral. While this may be uncomfortable, it is an important step in the process.

Barring an unforeseen circumstance, intakes are conducted in person. The intake meeting generally lasts 1-2 hours. Following the intake, the clinical team will generate an Initial Plan. The Initial Plan is a series of "next steps" to support a complete biopsychosocial evaluation. A customized and individualized plan, generally 2-3 pages in length, and informed by the reason for referral and initial intake conversation, outlines basic next steps in the evaluation and engagement process. The plan often outlines terms of data gathering which may include lab testing, authorizations, obtaining records, and potential practice limitations, among other details. This plan is signed by both the participant and HAVEN staff.

3. **Biopsychosocial Evaluation Process**

The biopsychosocial evaluation is done following the intake meeting. Components of the evaluation may include laboratory testing, evaluation, treatment, or records and conversations with current or former treatment providers. This process may take three weeks or longer, depending on each individual case. Each biopsychosocial evaluation process will involve a meeting with a clinical evaluator, recommended by HAVEN, and, typically, require treatment. While HAVEN clinical staff does not perform direct evaluations themselves, they will coordinate the evaluation with the most clinically appropriate healthcare provider or healthcare facility.

ADMINISTRATIVE FEES

HAVEN is a voluntary program. As is customary with other Professional Health Programs (PHP) across the country, participants are responsible for paying administrative fees or, if necessary, are expected to make arrangements to meet these financial obligations. These fees are an integral part of maintaining compliance with HAVEN. HAVEN fees cover the operational and overhead expenses of a non-profit organization. If there is a demonstrated financial hardship, a participant can arrange a payment plan that is suitable for the participant. While administrative fees are not waived, such a plan may allow a portion of the fees to be deferred for a short time. To obtain more information, please contact the financial controller for payment arrangements.

Initial Administrative Fee:

The initial fee covers administrative costs and expenses from the time of initial referral through a ninety (90) day period that will typically include medical review committee recommendations and, if appropriate, acceptance of a health and wellness contract. The initial fee will be billed either as a one-time payment or monthly, and the fee must be paid or a commitment to a payment plan must be agreed upon in order to be eligible for participation in this confidential program.

Monthly Fee:

After 90 days, or once the participant enters into a monitoring contract, whichever is first, participants will be billed a monthly fee. This fee can be paid by automatic debit, or you can initiate a payment. HAVEN accepts payments by card, PayPal, and by personal check. Staying up to date with fees is a crucial part of compliance with HAVEN's health and wellness program. If a participant is unable to meet the financial requirements of HAVEN, a payment plan can be put in place so the participant can remain compliant.

Financial Assistance:

HAVEN understands that the initial and administrative fees can be difficult to manage, particularly for those who have been refrained from practice. To assist with this, HAVEN offers various means of financial assistance for our participants. Additionally, many organizations offer HSA (Health Savings Accounts) plans that may be used to pay HAVEN fees, resulting in significant individual savings.

Payment Plans – If participants are unable to pay the initial assessment fee, or the monthly administrative fees, they may work with HAVEN's financial controller to set up a payment

plan that is suitable for the participant's financial needs. Once in a payment plan, the participant will be considered compliant with their health and wellness contract as long as timely payments are made, per the pre-approved payment plan. Participants will not be considered finished with the HAVEN program until the entire balance has been paid.

The Linda A Barile Grant – HAVEN has a limited grant available for participants who are experiencing financial difficulties and need assistance paying for any HAVEN-related expenses including: HAVEN fees, RecoveryTrek fees, testing expenses, SoberLink monthly fees, and treater copays. Each grant is worth $1,000. To apply, the participant should ask their clinical team or the Administrative Services Director for more details.

HAVEN initial and monthly administrative fees are a mandatory part of maintaining compliance. Failure to pay fees can result in the participant being unable to work with HAVEN's health and wellness program, with notification and transfer to the licensing authority.

MEDICAL REVIEW COMMITTEE, OVERSIGHT COMMITTEE, AND HEALTH AND WELLNESS CONTRACTS

MRC

The HAVEN Medical Review Committee (MRC) meets monthly. MRC is a multidisciplinary, peer review committee representing a breadth and depth of knowledge of health conditions and how they may affect a healthcare professional's ability to practice in their chosen environment. The MRC, under the peer review statute, reviews deidentified records and test results, and makes recommendations based on its review and analysis. These decisions can include eligibility to participate in HAVEN, length of contract, monitoring terms, decreasing or increasing testing modes, contract extensions, and in certain cases, early successful completion requests.

A participant's full file representing all information obtained during the intake and biopsychosocial evaluation process is presented to MRC. Each case presented has had all identifying information redacted, and quality assurance reviewed, in accordance with HAVEN's confidential monitoring statute.

In some instances, MRC will dismiss a case, and in others, they offer a contract for confidential monitoring. The clinical team notifies participants of MRC decisions as soon as possible following the MRC meeting where they were presented.

Oversight Committee

Should participants disagree with MRC's recommendations, they will have the opportunity to have their file presented to Oversight Committee. Oversight Committee, like MRC, is comprised of medical professionals who review the facts of the individual's case, in addition to the decisions of MRC, to provide a second opinion of the case and potential engagement with HAVEN.

The Oversight Committee meets once per quarter and reviews a limited number of cases each meeting. If Oversight offers recommendations that do not align with those made by MRC, the participant will be presented again to MRC at the next MRC meeting along with Oversight's recommendations. MRC may or may not accept the recommendations of the Oversight Committee.

Health and Wellness Contract

Following recommendations determined by MRC, participants may have the opportunity to enter into a health and wellness contract in the HAVEN program. The contract details specific terms and conditions of engagement with HAVEN, and the duration of this engagement.

Following MRC, each qualified participant will have a contract signing scheduled so the case management team can go over all the specifics and answer any questions the participant might have about their health and wellness contract. Following signing, participants will be expected to remain compliant with all the terms and conditions. Failure to do so can result in the participant losing eligibility for this confidential monitoring program and having their case referred to the licensing authority.

BIOLOGIC TESTING

Random urine drug testing or other biologic testing may be necessary, depending on the reason for referral to HAVEN. Testing can be used to rule out concerns, monitor a substance use disorder, or to document that a professional is taking prescribed medications appropriately. The costs of such testing are the sole responsibility of the participant.

While urine drug testing is the more frequent means of testing, blood serum, saliva, nail, or hair specimens may be required. All specimens are collected and transported to the laboratory using chain-of-custody protocol to ensure integrity and reliability.

HAVEN has a Medical Review Officer available to review testing results, in addition to having the results appropriately verified by testing laboratories. The Medical Review Officer (MRO) is a trained, certified and licensed physician responsible for receiving and reviewing laboratory results and evaluating medical explanations for certain drug test results. The MRO works to ensure the accuracy and integrity of the testing process and determines if there is a legitimate medical explanation for laboratory confirmed positive, adulterated, substituted and/or invalid drug test results.

RecoveryTrek

Unlike in healthcare settings where licensed staff provide direct clinical care, HAVEN's clinical staff does not provide direct clinical care to participants with HAVEN. As such, to order laboratory testing and receive results,, HAVEN uses a third-party administrator to coordinate the drug testing process when appropriate for a professional. HAVEN's third-party administrator, RecoveryTrek, manages randomization, approval of collection sites, and coordination of laboratory services.

If the professional is required to submit biological testing, they will be required to:

- Enroll or register for Toxicology Testing with RecoveryTrek. The participant should contact their service management team for assistance, if needed. This is something that is required even if testing is only done once or initially.
- Install the Recovery Trek app on their smart phone. This can be done at intake with a member of the participant's service management team.
- Check into RecoveryTrek from Monday through Friday from 12:00 a.m. to 2:00 p.m. to determine if they are selected to test. The participant should be sure to note the option number of the test and if it needs to be observed, as this must be provided to the technician at the testing site.

    - Participants must bring to the collection site photo identification, the option number they are selected for, and their HAVEN ID number.
    - Participants will not be able to check into the system after 2:00 p.m. If check in is delayed, the participant should call the HAVEN office as soon as possible so someone on site can assist them and let them know what the best action is to remain compliant, whether it is scheduling a test, postponing for the next day if excused, or, in cases where necessary, having a more in depth test such as a PEth test done.
- Keep their profile current- including address, telephone number, email address and credit card information.

After enrollment, RecoveryTrek will send chain of custody forms. For those who have used a lab collection slip/paper - these look very similar and serve many of the same functions.

Collection sites will not allow participants to submit a specimen without a chain of custody form. Additional forms may be ordered through the RecoveryTrek user portal on the app or by contacting RecoveryTrek via phone. HAVEN recommends to always keep a minimum of five chain of custody forms. Failure to produce a chain of custody form is not considered an acceptable excuse for failure to test. Should a participant be unable to produce a chain of custody form, they should please contact their Participant Service Coordinator or RecoveryTrek via phone who can, sometimes, arrange for a digital version to be sent to the verified testing facility.

What to do when selected

If, upon check in, the participant has been selected to test on that day, they will need to make an appointment at one of the locations that has been provided. If in a different region of the state or country, additional testing sites can be found through the RecoveryTrek "MobileTrek" app, website, or by contacting RecoveryTrek.

Each participant should ensure, after providing a sample, that the chain of custody form is completely filled out by the technician, including the temperature portion and the observed/unobserved portion. While HAVEN does its best to ensure the labs complete their portion correctly, it is important that the participant does their part to ensure things are completed correctly while at the lab. If the lab is noncompliant with the participant's request, that location should be reported to HAVEN who will make sure the issue is addressed. Making sure this is completed correctly is the participant's responsibility.

Other Important Testing Information:

Participants should always be mindful of all foods, liquids, and other items consumed. Poppy seeds or poppy seed products, foods that contain alcohol, including fermented teas as well as such items as mouthwash, over the counter cough or cold medicines, and homemade tinctures are not considered an appropriate explanation for a positive test result. If something that will affect testing results is ingested, please let us know as soon as you are made aware.

Whenever unsure about a food product or OTC medication, participants should avoid ingesting it. In the event of an illness such as cold, flu, or allergies, participants should contact their primary care provider for suggestions on what medications to use or avoid.

Types of Testing:

Urine Drug Screening (UDS) – collected at designated sites or at home through the PROOF testing system, provided by RecoveryTrek. Our most common method of biological testing. UDS appear in RecoveryTrek as "Option (#)," with each number representative of a different panel test.

The test panels differ and test for a variety of different substances. Neither HAVEN, nor the lab, will share the substances tested for in a test panel. The most common panels are 1, 2, 5 and 6.

When checking for testing, participants should keep in mind which "option" is assigned to provide, as selection of an incorrect option will result in additional testing charges.

A UDS is either observed (overseen by a staff member of the same gender, at the testing sight) or unobserved. If observed, participants will need to check with the lab, prior to arrival, to make sure there is adequate staffing for the observed component. If unable to secure an observed testing site, participants should contact their Participant Service Coordinator for guidance and next steps.

Hair Testing – collected at designated sites or at home through the PROOF testing system provided by RecoveryTrek. Hair tests will appear in RecoveryTrek as "Hairstat" or similar. In general, many sources of body hair, can be used. Though hair from the scalp is the most common source, underarm, chest, or leg hair may occasionally be used as a source. Each hair test has a 2 to 3 month look-back window. If hair is from the scalp, approximately the first 1-1.5 inches of growth is used. As a result, the length of hair is generally of minimal consequence to this process. The cut is generally about 1-1.5 inches wide. When possible, especially for persons with longer hair, the cuts are in the back of the head/other sections where there is hair that can fall over the cuts to minimize visibility. The number of cuts is based on how thick scalp hair is - in general, thicker hair requires less cuts because it weighs more, and thinner hair requires more cuts because it weighs less. To run the tests required, the lab needs approximately 150mg of body hair by weight.

Nail testing - collected at designated sites or at home through the PROOF testing system provided by RecoveryTrek. Nail tests will appear in RecoveryTrek as "Nailstatt" or similar. Nails must be cut in front of the staff at the testing facility. All 10 fingernails are cut and generally should be the diameter of a quarter, or greater. Toenails grow more slowly than fingernails though can also be used for this test. Because of the different growth speeds, combining both toenails and fingernails is not an option for this test.

PEth Testing - collected at designated sites or at home through the PROOF testing system provided by RecoveryTrek. PEth tests appear in RecoveryTrek as "P1." This is a blood test tested with a drop of blood, similar to a fingerstick glucose of hemoglobin A1C test for diabetics. PEth blood test measures the level of phosphatidyl ethanol, a direct alcohol biomarker which is found in human red blood cells following moderate alcohol consumption. Phosphatidyl ethanols are abnormal phospholipids formed in the presence of ethanol. It is a fairly sensitive and specific blood marker of alcohol consumption. This direct marker is also often used to monitor complete abstinence within a time window of 2 to 3 weeks.

Breathalyzer Testing

HAVEN uses a third-party administrator, SoberLink, to coordinate the breathalyzer testing process, if recommended by Medical Review Committee. . A breathalyzer is a device for measuring blood alcohol content (BAC). If participants are required to submit breathalyzer tests, they will be asked to:

- Create a SoberLink account and order a SoberLink breathalyzer device.

- Test during your scheduled 2-hour testing windows. Generally, participants will be required to submit tests 3-4x/day, in accordance with a set schedule developed with HAVEN. Breathalyzer tests are submitted 7 days per week.
- Keep their profile current- including address, telephone number, email address and credit card information.
- Confirm that each test was submitted successfully without a need for retests. Retests are generally required if a substance is detected or identity is declined. Retests are required until no retest is requested by the machine.

If a breathalyzer test is missed and there is a gap in testing, HAVEN will likely require additional biological testing such as an observed urine, PEth, hair, or nail test.

Participants should contact their case management team for assistance.

COMPIANCE

Reporting Requirements

Quarterly Meetings – For those participants with a health and wellness contract, each participant is required to meet with their professional director once per quarter to check in, ensure compliance, and chart their progress. HAVEN requires that participants meet in person with their professional director once per year, the remainder can be held remotely. Meetings are scheduled most often during an existing quarterly meeting or by a professional service coordinator.

Quarterly Reports – If in a health and wellness contract, participants will be required to submit, or have submitted on their behalf, quarterly reports from HAVEN-approved treaters and, if working, a practice liaison. These reports are an important part of maintaining compliance with HAVEN. Typical quarterly reports may include reports from a Therapist, Medication Management, Provider, and Practice Liaison. There are a number of other quarterly reports that may be required based on circumstances, including medication logs, hydration logs, sleep logs, or chart reviews. If a treatment team member is unable or unwilling to submit reports, participants will be required to find a different treater. Each participant service coordinator will remind their participants of missing reports prior to their quarterly professional director meeting.

If the healthcare provider or practice liaison has an email and is familiar with working in electronic records, HAVEN can provide them with quarterly report requests automatically. If they are unable to send in reports through RecoveryTrek, they will need to submit them manually, through email to reports@haven-ct.org or through fax at 860-828-3192.

It should be noted that whether one of your liaisons or treaters has elected to send reports electronically or via email or fax, it is your responsibility to make sure they are received by HAVEN. If asking a treater or liaison to submit these reports becomes too burdensome, we can assist you in finding others to serve in these roles on your behalf. In cases where reports are outstanding greater than 6 months, we often recommend that this happen regardless. Reports outstanding for more than 6 months are reported to the licensing authority.

Meeting Logs – If your contract dictates that you need to attend a certain amount of recovery groups per month, you must track your attendance at these meetings and submit that log, at most, 15 days following the end of the month (ie June meeting logs are due July 15th etc.). If you are attending meetings in person, please have the group leader sign your attendance sheet. If you are attending the meeting virtually, you

must keep the proof of your attendance and submit it along with your monthly log. Failure to meet the minimum requirement of meetings per month will result in your becoming out of compliance.

Continuing Education Units - Certain participants working with HAVEN are required to complete continuing education units (CEUs) as delineated in their monitoring contracts. These HAVEN-approved CEUs are typically required to be completed within the first year of the monitoring contract.

Vacations

HAVEN recognizes that participants may want to take vacation throughout the year, and we want to support this as best we can. Vacation is defined as an absence/being excused from toxicology/biological testing while working with HAVEN. This includes time away from RecoveryTrek, this does not include time away from SoberLink and meetings.

Guiding principles for vacation requests are as follows:

1. Participants have 20 RecoveryTrek vacation days per calendar year. Vacation time cannot be rolled over to the next calendar year.

2. Requests should be made at least one month in advance, on the RecoveryTrek system and by email to an assigned Participant Service Coordinator (PSC).

3. Participants may, under certain circumstances, be asked to provide travel details.

4. Requests for longer than one week require Professional/Medical Director approval.

5. Requests that are set to occur in the final 30 days of HAVEN engagement may not be approved. Exceptions will need to be approved by the Professional/Medical Director.

6. Additional testing matrices may be required upon return.

7. Files and engagement documents (e.g., quarterly reports, logs, etc.) should be up to date to approve a vacation.

8. If there are recent positive testing concerns (e.g., positive screen, positive breathalyzer, or a missed UDS test), vacation time cannot be approved until there is no less than 6 months of appropriate tests received.

9. If a participant has outstanding HAVEN administrative fees of > $1,000.00, this factor may be considered in determining the participant's request for vacation time.

10. If a participant requires bereavement time, please contact the assigned PSC and provide HAVEN a copy of the obituary.

11. In the event vacation time is not approved, the assigned PSC can assist the participant locate testing sites for travel within the US.

12. Professional/medical director may modify and/or individualize these guidelines depending on the circumstance(s).

Forms

FMLA/Disability: In some instances, participants need forms completed to support FMLA or disability decisions. HAVEN is not a direct care provider, and these forms need to be completed by direct care providers. HAVEN can send a letter in support of these applications if requested but does not complete these forms.

A Note on Professionalism

HAVEN staff will always work with the utmost professionalism and compassion with all people. We ask the same in return from those that work with HAVEN. In an event where either HAVEN staff, or you, feel that a conversation or situation is not productive or professional, we ask people to acknowledge that. In some cases, the conversation or task will be delayed or rescheduled to a different time, date or with another staff member. Thank you for your shared understanding in these situations.

PRIVACY AND CONFIDENTIALITY ACKNOWLEDGEMENT

HAVEN takes very seriously its participants' confidentiality. This Acknowledgement's purpose is to explain and document your understanding of the confidentiality provided to you as a HAVEN participant, including the limits of this confidentiality and disclosures that HAVEN may be required to make under certain circumstances. Your confidentiality is the same regardless of how you were referred to HAVEN.

As the confidential health monitoring program in the State of Connecticut for licensed healthcare professionals, HAVEN complies with applicable state and federal laws. While HAVEN is not a "covered entity" under the Health Insurance Portability and Accountability Act of 1996 (HIPAA), HAVEN makes every effort to comply with applicable guidelines under HIPAA, as well as the substance use disorder confidentiality regulations contained in 42 CFR Part 2.

In accordance with CGS Sec. 19a-12a et seq, HAVEN may disclose your identity and the contents of the HAVEN file to the to the appropriate state and federal licensing authority in the limited circumstances set forth below. By participating in HAVEN, you acknowledge your understanding (as evidenced by your signature below) that HAVEN may disclose your identity and the entire contents of the HAVEN file to the licensing authority without your prior authorization.

HAVEN may disclose your identity and/or the entire contents of the HAVEN file to the licensing and/or legal authority in the following situations:

1. A licensee/applicant referring for an illness or condition that has resulted in patient harm or compromised patient safety as determined by HAVEN.

2. A licensee/applicant referring for an illness or condition that has resulted in conduct that violates state or federal law.

3. A licensee/applicant who has current or prior discipline associated with their license in Connecticut or any other state.

4. HAVEN's staff determines that you may present a danger to yourself or others, or that you may present a significant risk in your practice of healthcare.

5. HAVEN's staff determines that you have failed to comply with the terms of your treatment and/or monitoring program or after your referral to HAVEN, you refuse to participate in the program.

6.As required by court order, or other statutorily mandated reporting requirements including, but not limited to, disclosures regarding criminal conduct and suspected child abuse or neglect. Note that state law provides that HAVEN records are not subject to discovery by subpoena or otherwise, or admissible as evidence outside of disciplinary authority proceedings.

In an effort to further protect confidentiality, participant files, documents and data shared with the HAVEN Medical Review Committee (MRC) and HAVEN Oversight Committee are done so in a de-identified, redacted manner. Conversations with and among these Committees are done with participants remaining anonymous to them. Without disclosing the licensee/applicant's identity, the MRC and Oversight Committee will review participant engagement issues such as eligibility for and compliance with confidential assessment and monitoring requirements. If the MRC makes a determination that the participant is in material noncompliance with the terms of the confidential assessment and monitoring or is otherwise ineligible, HAVEN will then make a referral to the licensing body.

HAVEN creates and maintains, in electronic format, an individual record for each professional referred to the program. The confidentiality of those records is protected by state and federal law. Health professionals who enter a monitoring program with HAVEN consent to the communication and exchange of information between all individuals and institutions as stipulated in their contracts and/or in signed authorizations. Participation in HAVEN is contingent on the professional providing signed authorization for HAVEN to exchange protected health information and other sensitive data with third parties for the purposes of carrying out its operations and meeting legal requirements.

With limited exceptions as set out in the monitoring contract, all reports, notes, records, and other documents obtained by, prepared for, or created by HAVEN are considered peer review materials prepared for MRC and are not disclosed to participants or their attorneys/representatives.

I acknowledge my participation in HAVEN involves specific provisions for confidentiality, as set forth above. I acknowledge that I have read and understand the terms of this Acknowledgement.

_____

Printed Name

_____

Signature

_____

Date Signed